

UNIVERSITY OF TASMANIA

Modern Slavery Statement 2024



Introduction

Modern slavery is a broad term used to describe human trafficking, slavery and slave-like practices (including forced labour and child labour), often in situations that are used to exploit victims and undermine or deprive them of their freedom.

The University of Tasmania is committed to protecting and respecting human rights and has a zero-tolerance approach to slavery and human trafficking in all its forms. We ensure that wherever possible we prevent and do not contribute to acts of modern slavery through our supply chains and operations and are committed to the eradication of modern slavery through:

- ensuring there is no modern slavery within our own operations
- educating our staff and students to build institutional understanding
- providing support for those members of our community who have experienced or been affected by modern slavery
- working with our partner organisations and supply chains with the objective of eliminating modern slavery.

The University of Tasmania Modern Slavery Statement 2024 illustrates the continuous improvement in our reporting on the risks of modern slavery in our operations and supply chains and actions taken to address them. It is made in accordance with the requirements of the *Modern Slavery Act 2018* (Cth) for the reporting period 1 January to 31 December 2024 and is for the University of Tasmania and its controlled entities.

Reporting Entity

The University of Tasmania is a statutory authority constituted under the *University of Tasmania Act 1992* (Tas). The University is a registered charity under the *Australian Charities and Not-For-Profit Commission Act 2012* (Cth).

This Statement is for the University of Tasmania and its controlled entities (A.M.C. Search Limited, UTAS Properties Pty Ltd, UTAS Holdings Pty Ltd and Tasmanian University Student Association Inc), together referred to as the University.

ARBN 055 647 848, ABN 30 764 374 782. Registered Office – 2 Churchill Avenue, Sandy Bay, Tasmania, 7005.

Modern slavery statement development and approval governance framework



STATEMENT OWNER

University Council



COMPLIANCE OWNER

General Counsel/Executive
Director Legal and Risk



APPROVAL

University Council



POLICY ALIGNMENT

Sustainability Policy

Risk Management and
Business Resilience Policy

Entities and Affiliated
Organisations Policy

Procurement Policy

Compliance Policy

Behaviour Policy

Partnerships Policy

Treasury and Investment Policy

Safety and Wellbeing Policy



STRATEGIC ALIGNMENT

University of Tasmania
Strategic Plan 2019-2024

Strategic Framework
for Sustainability



OPERATIONAL OVERSIGHT

Statement Development
Student Services & Operations
Division (Legal and Risk)

Operational Risks
Research Division
Student Services & Operations
Division

Continuous Improvement Plan
Student Services & Operations
Division (Legal and Risk)

Operations

The University is the sole higher education provider based in Tasmania. We are located on a remarkable and distinctive island with unique natural and Indigenous heritages. In 2024, our 29,899 students attended campuses located in three of the State's distinct regions, as well as in Victoria, New South Wales, online, and internationally in collaboration with partner institutions in Asia.

In 2024, the University employed 2098 full-time equivalent (FTE), 986 FTE part-time and around 1105 FTE casual staff across diverse operations, supporting our fundamental purpose to deliver world leading teaching, learning and research. Operations in support of our academic endeavours included finance, safety, people, advancement, information technology, asset, risk, sustainability and facility management, as well as student services such as scholarships, learning support, library services, student advice, international student support, English language support, counselling, study abroad and exchange, and accommodation. Our cutting-edge research is critical for Tasmania, making use of our unique location, while contributing meaningfully to global priorities. We work to realise the economic, social and environmental impacts from our research outputs, partnering domestically and internationally to use and commercialise University-owned intellectual property. We engage broadly with the Tasmanian community, and directly with all levels of government, other universities, commercial partners, and third parties to deliver learning and teaching and undertake research.

We are connected to alumni across Tasmania, Australia and over 110 countries around the world.

Structure

The University is governed by the University Council which is established under the University's founding act and has responsibility for high-level strategic direction, major financial planning, monitoring management performance, compliance, and the allocation of funds.

The University Council delegates broad powers to the Vice-Chancellor as the managerial and academic leader, to manage the University's operations, and to Academic Senate which advises University Council on all academic matters.

The University receives funds primarily from the Australian Government, but also the state and local governments, to assist with education programs and research activities covering a wide range of disciplines.

In addition to government funding, the University receives donations, funds and fees from individuals and organisations that contribute to the diverse programs led by the University and the education services it provides consistent with its charitable purpose.

In 2024, the University was organised into:

Academic units

College of Arts, Law and Education, Tasmanian School of Business and Economics, College of Health and Medicine, University College, and the College of Sciences and Engineering. The colleges comprise discipline-based academic units including four specialist institutes, the Australian Maritime College, Institute for Marine and Antarctic Studies, Menzies Institute for Medical Research, and the Tasmanian Institute of Agriculture.

Divisions

Vice-Chancellor, Student Services & Operations, Academic, Research, and People & Wellbeing.

Controlled entities

A.M.C Search Limited, UTAS Properties Pty Ltd, UTAS Holdings Pty Ltd and Tasmanian University Student Association Inc.

Governance

University Council delegates oversight of legal compliance to its Audit and Risk Committee.

The Legal and Risk portfolio, headed by the General Counsel/Executive Director Legal and Risk, has operational accountability for the University's compliance with the Modern Slavery Act and oversees the implementation of modern slavery initiatives and the development of this Statement. Accountability for identifying and mitigating modern slavery risk, however, is a shared responsibility across the University. While monitored centrally under the University's Legal Compliance Framework, it is managed by those with direct responsibility, in particular by the Procurement, Student Services, Research Services, and Recruitment and Engagement teams.

Supply chain

The University purchases a diverse range of goods and services in accordance with our *Procurement Policy*. This Policy supports the University's values and guides behaviour in relation to all operational, capital and research procurement-related activities for the purpose of advancing the University's objectives.

Procurement decisions at the University reflect value for money but are not limited to price; they also consider sustainable and ethical principles, maximise opportunities for local suppliers, and are assessed for risks specific to modern slavery.

Our supply chains are complex and geographically diverse. We procure goods and services from small family businesses through to global, multi-national companies.

University suppliers by location



Goods and services procured by the University include:

- building and construction services and supplies
- electronic goods (server hardware, computers, audio visual equipment, tablets and phones)
- facilities, asset and waste management services
- laboratory supplies (small and large equipment, chemicals, consumables, pharmaceuticals)
- food and catering supplies
- domestic and international travel services
- furniture
- office supplies
- books and printing
- branded clothing and merchandise

The University has preferred supplier arrangements through University-wide contracts. Preferred suppliers are pre-qualified on a range of criteria, including assessment for modern slavery risk in their supply chains and operations.

Risks of Modern Slavery

The University's approach to the identification and management of modern slavery risk in our supply chains and operations is aligned with the University's Risk Management Framework and Risk Appetite.

The University regularly undertakes University-wide risk assessments to identify those operations and activities most at risk of exposure to modern slavery. These risk assessments have identified that the following functional areas have elevated risks of inadvertently supporting, contributing to, or being impacted by modern slavery practices:

- procurement of goods and services
- students and staff
- research operations
- international operations
- investment.

Key risks for modern slavery identified within each area include:

Students and staff

Risk of:

- low level of awareness about modern slavery in the University community
- students subject to modern slavery by external agents
- individuals unable to report on suspected or confirmed modern slavery
- student-facing staff unable to respond appropriately to modern slavery related enquiries and concerns.

Research operations

Risk of:

- international research partners engaging in modern slavery practices (higher in high-risk geographical areas)
- unethical procurement of biological samples
- modern slavery practices in clinical trials (higher in high-risk geographical locations)
- HDR candidates subject to modern slavery by external agents.

Procurement

Risk of:

- procurement of goods and services from suppliers engaging in modern slavery practices (higher in high-risk industries such as technology and textiles and high-risk geographical areas)
- not detecting modern slavery risks and incidents in supply chains.

International operations

Risk of:

- entering contractual relationships (e.g. for recruitment) with global partners that engage in modern slavery practices (higher in high-risk geographical areas).

Investment

Risk of:

- investment in companies that engage in modern slavery practices or have high-risk supply chains.

Australian Universities Procurement Network (AUPN)

A key initiative for identifying and managing risks in our procurement has been our membership of the AUPN. The AUPN is a sector-wide collaboration of 41 Australian and New Zealand universities that supports members with an Anti-Slavery Program and dedicated human resources to improve supply chain human rights transparency, collaborate on risks and issues for greater impact, and contribute to reporting requirements.

The AUPN Anti-Slavery Program includes the following key program pillars of focus:

- Risk Software for supplier assurance questionnaires and data and risk dashboards
- Capability Uplift through training and risk insights
- Engagement including supplier education and remediation

The University uses the data of \$12.8 billion combined spend across 91,000 suppliers in the risk software tool to provide an indication of modern slavery risk across:

1. spend categories using the AUPN taxonomy, and
2. country locations using supplier head office location.

This identified that the highest risks within the higher education sector supply chains are:



Information technology and communications (ICT)



Clothing and apparel



Food

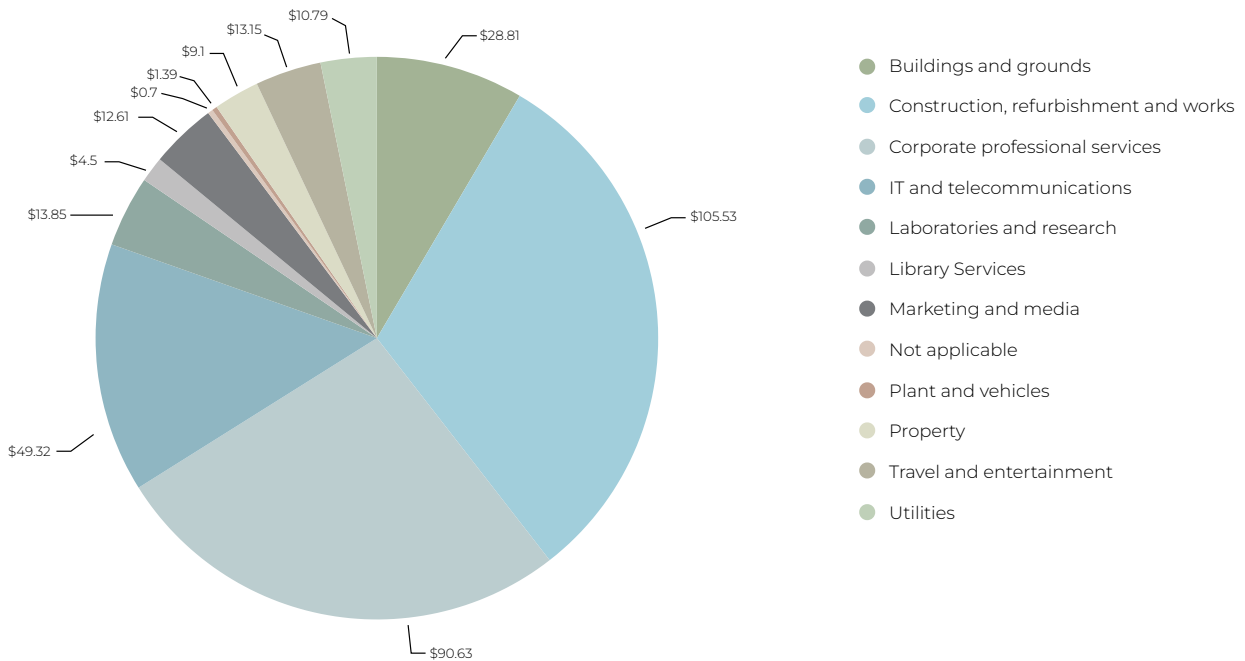


Construction



Cleaning

Total University spend by category (\$million)



The University will continue to use internal procurement data and the AUPN technology solutions to understand our supply chains, identify risk, focus resources and inform actions for modern slavery risk management.

While our endeavours to date have not identified any cases of modern slavery in either our operations or supply chains, we recognise that despite our best efforts, these crimes may be associated with the goods and services we procure due to the complex and diverse nature of our supply chains. By its nature, modern slavery can be difficult to detect given it often sits in ‘legitimate’ industries. Due to the effectiveness of the University’s controls, the residual risks of modern slavery are assessed as low to moderate based on the University’s Risk Framework.



Case study: Building knowledge of the modern slavery risks for PC devices

The Procurement, Sustainability and Informational Technology teams collaborated to engage with the University’s suppliers of PC devices to better understand supply chain challenges and risks. The supply chains for ICT equipment are global and complex, with links to high risk industries including mineral sourcing, refining, extraction, and transportation. Material and manufacturing suppliers are often based in countries with weak labour law enforcement, and high cases of modern slavery, including forced labour and child labour. Our earliest action as part of the procurement process was to screen for values aligned suppliers. The pre-qualified suppliers demonstrated capability and a commitment to sustainable supply. Each were asked to share details of the verification and risk assessments that they undertake to eliminate modern slavery risk from their supply chains. While full traceability of all components continues to prove difficult to investigate for a range of reasons including commercial-in-confidence arrangements and international manufacturing sites, this process of engaging with suppliers builds our own and our suppliers’ understanding of supply chain risks and how they are managed, and makes clear the University’s expectations.

Actions taken to address risks, due diligence and remediation

The University has a continuous improvement approach to identifying and managing risks of modern slavery focused on activities and areas of highest risk. The University's Modern Slavery Continuous Improvement Plan details the identified risks, existing controls, and proposed initiatives to mitigate the risk of modern slavery occurring in our operations and supply chains. In 2024, the University reviewed and updated its Modern Slavery Act 2018 Legal Compliance and Monitoring Plan to ensure understanding of and compliance with all obligations of the Act that impact the University and to better facilitate oversight of the continual improvement process that is the foundation of the University's management of the risks of modern slavery.

Education and awareness raising

Building understanding of modern slavery in the University community and awareness of its existence, even in modern day Australia, has been central to our activities to mitigate risk. With increased awareness comes changes in behaviours both in the workplace and in personal lives such as purchasing choices.

Awareness raising and education activities for our communities and our partners include:

- a public-facing University of Tasmania Modern Slavery web page with information about modern slavery, University actions and links through to reporting pathways for instances of modern slavery
- an internal-facing staff intranet page, including training materials and advice on how to report suspected modern slavery
- embedded due diligence practices for assessing Partners and Agents in high-risk geographical areas to better identify modern slavery concerns
- educating students on modern slavery risks and available support resources through regular direct communications to students across multiple digital and other platforms.

Procurement

We continued to leverage the due diligence work the AUPN carried out on high-risk global companies to inform our approach to modern slavery risk management, mitigation and remediation internationally, and concentrated our internal due diligence resourcing efforts on identified local high-risk suppliers.

We utilised the AUPN technology platform to inform our due diligence assessments and use data across each category or by location to identify potential risks with the supplier. In our procurement tendering process we required suppliers to provide relevant assurances, internal policies and procedures or audit reports to build our knowledge of their supply chains.

Our sector-wide collaboration has been strengthened through the AUPN Anti-Slavery Program.

Requests for tender

In 2024, modern slavery questionnaires continued to be incorporated into the University's request for tender documentation for all procurement over \$200,000. These questionnaires provide ongoing identification and management of risk but also serve as an educative tool for prospective suppliers.

Similarly, contracts continued to embed our expectations regarding modern slavery including in:

- international agreements and partnerships
- general MOUs
- general and construction contracts
- leases
- work integrated learning.

Contracts containing modern slavery provisions:

- require certain disclosures and notifications
- require evidence about how risks of modern slavery are managed and mitigated
- provide for termination where modern slavery is identified and the party refuses to remediate or address (last resort).

Investments

Our investment portfolio spans public markets (Australian and international equities) and private markets (including infrastructure and private equity). Our Treasury and Investment Policy mandates a positive screen to consider outcomes consistent with the University's environmental, social and governance commitments and an assessment of modern slavery risks is undertaken as part of the investment due diligence process, including a review of relevant modern slavery policies, statements and direct questioning of fund managers. Monitoring of the investment portfolio to manage investment and fund manager risk is undertaken in accordance with the governance framework and includes an annual strategy review. To this extent, the University does not knowingly invest directly or indirectly in organisations that support or perpetrate modern slavery.

Staff and students

It is possible that our staff, students, or their family members may know or suspect someone is a victim of modern slavery or even be subject to it themselves. We know that modern slavery exists in Australia today in many forms. Educating staff and students about modern slavery and what to do about it as well as providing a mechanism and support for it continues to be a significant risk mitigation activity.

The University has a dedicated modern slavery reporting pathway for anonymous reporting by vulnerable students and staff. This is supported by information on dedicated internal and external University modern slavery websites. In 2024, no modern slavery-related concerns were reported.

Partnerships

The University conducts due diligence assessments of all partners and agents in high-risk geographical areas. A mandatory second (and more extensive) round of due diligence is conducted by the Legal, Risk and Compliance team for proposed partners from countries with alleged human-rights, including modern slavery, abuses. In addition, appropriate modern slavery contractual terms are included in written agreements with Partners.

Remediation

The University uses remediation processes that take an educative and collaborative approach to mitigate risks of modern slavery in supply chains and partner relationships, ending an agreement or relationship only if there is no alternative.

Mandatory Criterion 5 - Describe how the reporting entity assesses the effectiveness of actions being taken to address modern slavery risks

Assessing Effectiveness

Audit and Risk Committee

The Audit and Risk Committee provides oversight of the University's compliance with the Modern Slavery Act and is regularly updated on the status of the Modern Slavery Act Legal Compliance and Monitoring Plan. No non-compliances with the Modern Slavery Act or related legislation were reported in 2024.

Community

Feedback from staff, students and community members continues to form an integral part of assessing the University's effectiveness in relation to modern slavery. We will continue to engage with our community to ensure that our approach meets regulatory compliance and exceeds community expectations.

Mandatory Criterion 6 - Describe the process of consultation with any controlled entities

Consultation

University controlled entities operate in accordance with the University's Entities and Affiliated Organisations Policy. Boards of controlled entities report to University Council and must ensure its functions and activities are carried out in accordance with its constitution and relevant laws.

Boards must also ensure oversight of risk and compliance issues including obligations under the *Modern Slavery Act 2018*. The University consults and works closely with controlled entities to ensure their compliance obligations, including risk management in relation to modern slavery, are met.

In addition, all controlled entities are registered charities with the Australian Charities and Not for Profit Commission and as such comply with the ACNC standards for registered charities, which include the requirement for compliance with the Modern Slavery Act, as well as external conduct standards for any overseas operations.

Mandatory Criterion 7 - Any other relevant information

Reporting concerns

All aspects of the University's activities are founded in ensuring the protection and respect of human rights across our business and supply chains. Staff and students have a number of pathways to gain access to information about how the University manages risks of modern slavery. The University's processes and mechanisms to investigate any concerns regarding unethical or illegal conduct, including concerns in relation to modern slavery and human trafficking are governed by the Behaviour Policy and Behaviour Procedure with reporting (anonymous if required) through the University's Safe and Fair Community Unit (SaFCU).

2024 statement approval

The University of Tasmania Modern Slavery Statement 2024 was approved by University Council in its role as principal governing body on 02 May 2025 and signed by Chancellor Alison Watkins AM and Vice-Chancellor Professor Rufus Black.

Signed



Alison Watkins
Chancellor



Professor Rufus Black
Vice-Chancellor